

07-23-01

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FORM PTO-1082

Express Mail EL 904 935 082 US

PATENT

Atty. Docket No. 42252-1011

Date: July 20, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Timothy D. Forrester

For: SYSTEM AND METHOD FOR PROVIDING AUXILIARY RECEPTION IN A WIRELESS COMMUNICATIONS SYSTEM

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☐ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (27 pages)
- ☒ Sheet(s) of drawing (☐ formal, ☒ informal)
- ☒ Form PTO-1595 and an assignment of the invention to: KYOCERA WIRELESS CORPORATION
- ☐ A certified copy of ☐ Application No. ☐ filed on ☐ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, ☐ will follow.
- ☐ An associate Power of Attorney.
- ☒ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: ☐

Examiner: ☐ Group/Art Unit: ☐

- ☒ Check in the amount of \$710.00 to cover the filing fee is enclosed.
- ☒ Check in the amount of \$40.00 to cover the Assignment Recordation Fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.
  - A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell P. Brook  
Registration No. 32,967  
c/o BAKER & MCKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441

1100 U.S. PTO  
9/909748  
07/20/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **750.00****Complete if Known**

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Timothy D. FORRESTER
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No	42252-1011

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit  
Account  
Number

02-0410

Deposit  
Account  
Name

Baker &amp; McKenzie

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid

101 710 201 355 Utility filing fee

710.00

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

**SUBTOTAL (1)** (\$) **710.00****2. EXTRA CLAIM FEES**

Total Claims	18	-20** =	0	X	0	=	0.00
Independent Claims	2	-3** =	0	X	0	=	0.00
Multiple Dependent				X		=	

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims  
over original patent110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent**SUBTOTAL (2)** (\$) **0.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Fee Paid

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or  
cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for *ex parte* reexamination112 920\* 112 920\* Requesting publication of SIR prior to  
Examiner action113 1,840\* 113 1,840\* Requesting publication of SIR after  
Examiner action

115 110 215 55 Extension for reply within first month

116 390 216 195 Extension for reply within second month

117 890 217 445 Extension for reply within third month

118 1,390 218 695 Extension for reply within fourth month

128 1,890 228 945 Extension for reply within fifth month

119 310 219 155 Notice of Appeal

120 310 220 155 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,240 241 620 Petition to revive - unintentional

142 1,240 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of Information Disclosure Stmt

581 40 581 40 Recording each patent assignment per  
property (times number of properties)146 710 246 355 Filing a submission after final rejection  
(37 CFR § 1.129(a))149 710 249 355 For each additional invention to be  
examined (37 CFR § 1.129(b))

179 710 279 355 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination  
of a design application

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

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Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3)** (\$) **40.00****SUBMITTED BY**

Name (Print/Type)

Mitchell D. Brook

Registration No.  
(Attorney/Agent)

32.967

Complete (if applicable)

Telephone

619 236-1441

Signature

*Mitchell D. Brook*

Date

7/20/01

**WARNING: Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Art Unit: To be assigned
Timothy D. FORRESTER	)	
	)	Examiner: To be assigned
Serial No.: To be assigned	)	
	)	
Filed: Filed herewith	)	
	)	
For: SYSTEM AND METHOD FOR	)	
PROVIDING AUXILIARY RECEPTION	)	
IN A WIRELESS COMMUNICATIONS	)	
SYSTEM	)	

San Diego, California 92101  
July 20, 2001

BOX PATENT APPLICATION  
Commissioner For Patents  
Washington, D.C. 20231

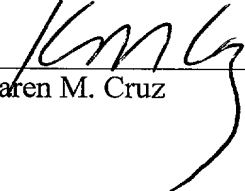
**CERTIFICATE OF EXPRESS MAILING**

Dear Sir:

"Express Mail" mailing label No. EL 904 935 082 US

Date of Deposit: July 20, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, two checks in the amount of \$710.00 (filing fee) and \$40.00 (recording fee), Patent Application including the Specification, Abstract, and Claims (27 pages), 5 sheets of Informal Drawings (FIGS. 1-5), Combined Declaration and Power of Attorney, Assignment, Recordation Form Cover Sheet and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Karen M. Cruz